
INFORMATION NOTICE ON THE PROTECTION OF THE PERSONAL DATA OF NATURAL PERSONS – SHAREHOLDERS AND REPRESENTATIVES OF SHAREHOLDERS

As of 25 May 2018, the provisions of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC ("GDPR"), are applicable.

BRK FINANCIAL GROUP S.A., with its registered office in Cluj-Napoca, Calea Moșilor no. 119, Cluj County, registered with the Trade Register Office under no. J12/3038/1994, sole registration code (CUI) 6738423, telephone 0364 401 709 (key 5), e-mail address office@brk.ro, informs that, in the activity it carries out, it processes in a responsible manner and pays particular attention to the protection of the personal data of the natural persons with whom it enters into legal relations, in compliance with the provisions of Regulation (EU) 2016/679 ("GDPR").

In accordance with the provisions of Art. 37-39 of the GDPR, the company has appointed a Data Protection Officer (DPO), who may be contacted for any aspect relating to the processing of personal data and the exercise of the rights of data subjects at the e-mail address dpo@brk.ro.

Purpose of the data processing

BRK FINANCIAL GROUP S.A. processes personal data:

- for the purpose of organising and holding the meetings of the General Meeting of Shareholders (GMS);
- in order to identify the persons entitled to exercise shareholder rights;
- in connection with the organisation and holding of the meetings for establishing the resolutions adopted by the General Meeting of Shareholders;
- in order to identify the shareholders who are to benefit from rights and upon whom the effects of the resolutions of the General Meeting of Shareholders are reflected.

Categories of data processed

In the case of all shareholders of the company, the following personal data are processed: surname, first name, personal numeric code, series and number of the identity card, address, citizenship, number of shares and bank account.

In the case of natural person shareholders who request the addition of supplementary items to the agenda and/or the submission for approval of draft resolutions, as well as in the case of natural person shareholders who exercise their voting right within the GMS meetings, the following are processed: surname, first name, type of identity document, series and number of the identity document, domicile/residence address, citizenship and the manner of exercising the voting right.

In the case of natural persons who are legal representatives of shareholders and of the representatives appointed by special or general power of attorney, who request the addition of supplementary items to the agenda and/or the submission for approval of draft resolutions, as well as for the exercise of the voting right in the name and on behalf of the shareholders, the following are processed: surname, first name, personal numeric code, date and place of birth, citizenship and the manner of exercising the voting right.

Source of the data

BRK FINANCIAL GROUP S.A. collects the personal data of the natural person shareholders and, as the case may be, of their representatives, directly from the data subjects, as well as indirectly, through Depozitarul Central S.A., in the form of the data included in the Shareholders' Register of BRK FINANCIAL GROUP S.A.

Legal basis of the processing

The legal basis for the processing of personal data is the fulfilment of a legal obligation incumbent on the controller, in accordance with Art. 6 para. (1) letter (c) of Regulation (EU) 2016/679 (GDPR), as well as compliance with the legislation applicable to companies and to the capital market, including Law no. 31/1990 on companies, Law no. 24/2017 on issuers of financial instruments and market operations, the regulations of the Financial Supervisory Authority (ASF) and the other applicable normative acts.

Storage period

Personal data are stored by BRK FINANCIAL GROUP S.A. permanently, for historical record, both on paper and in electronic format, in the form of the files relating to the meetings of the General Meeting of Shareholders, in accordance with the applicable legislation.

Rights of the data subjects

Under Regulation (EU) 2016/679, data subjects have the following rights:

- the right of access to data;
- the right to rectification of data;
- the right to erasure of data;
- the right to restriction of the processing of data;
- the right to object;
- the right not to be subject to a decision based solely on automated processing of data;
- the right to lodge a complaint with the National Supervisory Authority for Personal Data Processing (ANSPDCP).

Exercise of the rights

In order to exercise these rights, shareholders may address a written, dated and signed notification, sent to the registered office of BRK FINANCIAL GROUP S.A. or in electronic format.

For any additional details regarding the processing of personal data, the Data Protection Officer (DPO) may be contacted at the e-mail address dpo@brk.ro (or the e-mail address used by the company), or a written request may be sent to the registered office of the company.