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## INFORMATION NOTICE REGARDING THE PROTECTION OF PERSONAL DATA OF INDIVIDUALS – SHAREHOLDERS AND REPRESENTATIVES OF SHAREHOLDERS

Starting with 25 May 2018, the provisions of Regulation (EU) No. 679/2016 of the European Parliament and of the Council of the European Union on the protection of natural persons with regard to the processing of personal data and on the free movement of such data became applicable.

BRK FINANCIAL GROUP S.A., with its registered office in Cluj-Napoca, Calea Motilor no. 119, Cluj County, J1994003038124, CUI 6738423, Telephone: 0364401709 (option 5), email address: [ir@brk.ro](mailto:ir@brk.ro), hereby informs that, in the course of its activity, it processes with due responsibility and pays particular attention to the protection of personal data of the natural persons with whom it enters into legal relations, in compliance with the provisions of Regulation (EU) No. 679 of 27 April 2016. BRK FINANCIAL GROUP S.A. processes the following personal data:

- For the purpose of organising and conducting the meetings of the General Meeting of Shareholders (GMS);
- For the identification of persons entitled to exercise shareholder rights;
- In connection with the organisation and conduct of meetings for establishing the resolutions adopted by the Ordinary General Meeting of Shareholders;
- For the identification of shareholders who are to benefit from rights and upon whom the effects of the resolutions of the General Meeting of Shareholders apply;

For all shareholders of the company: first name and last name, personal identification number (CNP), series and number of the identity card, address, citizenship, number of shares, bank account. For shareholders, natural persons, who request the inclusion of additional items on the agenda and/or the submission for GMS approval of draft resolutions for items included on the agenda, as well as for shareholders; • natural persons exercising their voting right within GMS meetings: first name, last name, type of identity document, series and number of identity document, domicile/residence address, citizenship of the individual shareholder, manner of exercising the voting right; For natural persons acting as legal representatives of shareholders and for representatives holding special or general powers of attorney, who request the inclusion of additional items on the agenda and/or the submission for GMS approval of draft resolutions for items included on the agenda, as well as for exercising the voting right within GMS meetings, in the name and on behalf of shareholders: first name, last name, personal identification number (CNP), date and place of birth, citizenship, manner of exercising the voting right. BRK FINANCIAL GROUP S.A. collects the personal data of shareholders, natural persons, and, where applicable, of natural persons acting as representatives of shareholders: directly from shareholders, as well as through requests formulated by them indirectly, through DEPOZITARUL CENTRAL SA, in the form of data from the Shareholders Register of BRK FINANCIAL GROUP S.A. The legal basis for the processing of personal data is represented by the necessity to comply with art. 6 para. (1) letter (c) of the GDPR Regulation on the protection of natural persons with regard to the processing of personal data, a legal obligation regulated by Law no. 31/1990 on Companies and Law no. 297/2004 on the Capital Market, as well as by the statutory and legal provisions applicable to the organisation and conduct of the GMS. The personal data are stored by BRK FINANCIAL GROUP S.A. permanently for archival purposes, both on paper and in electronic form, in the form of GMS meeting files, in accordance with the legislation in force. Under Regulation (EU) No. 679 of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, the shareholders of BRK FINANCIAL GROUP S.A. benefit from the following rights:

- the right of access to data;
- the right to rectification of data;
- the right to erasure of data;
- the right to restriction of data processing;
- the right to object;
- the right not to be subject to a decision based solely on automated processing of data;
- the right to lodge a complaint with the National Supervisory Authority for Personal Data Processing (ANSPDCP).

To exercise these rights, shareholders may submit a written notification, dated and signed, addressed to BRK FINANCIAL GROUP S.A. For any additional details regarding the processing of personal data, the Data Protection Officer may be contacted at the email address: [ir@brk.ro](mailto:ir@brk.ro), or a written request may be sent to the company's address.