

To:

**Financial Supervisory Authority**  
Financial Instruments and Investments Sector

**Bucharest Stock Exchange**

### CURRENT REPORT

In compliance with the provisions of Law no. 24/2017 on issuers of financial instruments and market operations  
and Regulation no. 5/2018 on issuers of financial instruments and market operations

**Date of the report:** December 8, 2022

**Name of issuer:** SSIF BRK Financial Group SA

**Headquarters:** Cluj-Napoca, 119 Moșilor Street, Cluj County

**Telephone/fax no:** 0364-401.709/0364-401.710

**Tax Identification Code:** 6738423

**Trade Register no/date:** J12/3038/1994

**Subscribed and paid share capital:** RON 50.614.492,80

**Regulated market where issued securities are traded:** Bucharest Stock Exchange, Premium Tier, market symbol: BRK

**Important events to be reported:** Information document regarding the free assignment of some shares to employees

BRK Financial Group SA informs the investors about the implementation of the "stock option plan" program and the assignment of its own shares held by the company to the company's administrators, directors and employees, purpose for which it publishes the Information Document in accordance with art. 1 paragraph (4) lit. i) from *Regulation no. 1129/2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC.*

Monica Ivan  
General Manager

**INFORMATION DOCUMENT REGARDING THE ALLOCATION OF SHARES  
TO THE ADMINISTRATORS, DIRECTORS AND EMPLOYEES OF SSIF BRK FINANCIAL GROUP S.A.**

(information document according to art. 1 par. (4) letter i) of Regulation no. 1129/2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC)

### **1. Information on the number and nature of securities**

SSIF BRK FINANCIAL GROUP S.A. (hereinafter "the Company"), with registered office in Cluj-Napoca, 119 Motilor Street, registered at the Register of the Trade Office under no. J12/3038/1994, unique registration code 6738423, assigns free of charge a number of 3,377,499 of its own shares held, to administrators, directors and employees, who meet the eligibility criteria defined in the Stock Option Plan.

### **2. Reasons for assigning shares**

In the Extraordinary General Meeting of BRK Shareholders on 26.04.2021, resolutions no. 5 and no. 6 regarding the implementation of a Stock Option Plan, respectively the share buyback program in order to assign them within the Stock Option Plan program. The decisions of the Extraordinary General Meeting of Shareholders were published in the Monitorul Oficial, part IV of no. 2108/26.05.2021.

By Decision no. 5 of EGMS on 26.04.2021 it is approved the implementation of the Stock Option Plan program from the own shares held by the company, to the administrators, directors and employees of the company, by allocating free of charge a maximum of 1% of the total shares issued by the company.

By Decision no. 6 of EGMS on 26.04.2021 it is approved the plan for the redemption by the Company of its own shares, in the market where the shares are listed or by public purchase offers, respecting the conditions and legal provisions in force.

### **3. Details of assignment of shares**

Within the redemption program approved by Decision no. 6 of the EGMS on 26.04.2021, a no. of 3,377,499 shares to be assigned in the Stock Option Plan.

In application of Decision no. 5 of the EGMS on 26.04.2021, the Decision of the Board of Directors no. 2/07.12.2021 respectively Decision no. 3/22.11.2022, by which the eligible persons and the number of shares assigned to each were determined, taking into account the relevant professional experience and organizational responsibility of each nominated person, drawing up evaluation forms for each eligible person in this regard.

The shares will be delivered by direct transfer to the Company's shareholder register from the Central Depository, within the term and according to the legal provisions in force, the date on which the employees, directors and administrators will effectively enter into their rights.

This document was drawn up in accordance with art. 1 paragraph (4) lit. i) from Regulation no. 1129/2017 regarding the prospectus that must be published in the case of a public offer of securities or the admission of securities to trading on a regulated market, and repealing Directive 2003/71/EC and is to be submitted to the Financial Supervisory Authority, Bucharest Stock Exchange and the Central Depository.

**Monica Ivan**  
General Manager