

To:
Financial Supervisory Authority
Financial Instruments and Investments Sector

Bucharest Stock Exchange

CURRENT REPORT

In compliance with the provisions of Law no. 24/2017 on issuers of financial instruments and market operations and Regulation no. 5/2018 on issuers of financial instruments and market operations

Date of the report: July 18, 2022

Name of issuer: SSIF BRK Financial Group SA

Headquarters: Cluj-Napoca, 119 Moșilor Street, Cluj County

Telephone/fax no: 0364-401.709/0364-401.710

Tax Identification Code: 6738423

Trade Register no/date: J12/3038/1994

Subscribed and paid share capital: RON 50.614.492,80

Regulated market where issued securities are traded: Bucharest Stock Exchange, - Premium Tier, market symbol: BRK

Important events to be reported: Decision of the Board of Directors of July 18, 2022 regarding the completion of the convening notice of the Ordinary General Meeting of Shareholders of August 17/18, 2022

Convening the Ordinary General Meeting of Shareholders SSIF BRK Financial Group S.A. on August 17/18, 2022 with ADDITIONS

The Board of Directors of SSIF BRK FINANCIAL GROUP S.A., joint-stock company, registered at ORC Cluj under no. J12 / 3038/1994, CUI 6738423, with its registered office in Cluj-Napoca, str. Moșilor no. 119, (hereinafter referred to as "**the Company**"),

Considering:

- The decision on 12.07.2022 of the Board of Directors of the Company, regarding the convening of the Ordinary General Meeting of Shareholders for 17.08.2022, at 12:00 or for 18.08.2022, at 12:00 at the company's headquarters, Cluj- Napoca, str. Moșilor no. 119, Cluj County, for all shareholders registered in the Company's shareholders register at the end of 05.08.2022 ("Convening"),
- Publication of the Convening through the current report no. 3831 / 13.07.2022;
- The address of the Financial Supervision Authority registered at the Company with no. 3864 on 15.07.2022, by which the Company was obliged to comply with the provisions of art. 187 point 8 of the FSA Regulation no. 5/2018 and the provisions of art. 117¹ of the Companies Law, no. 31/1990 republished, as well as the adoption of the necessary measures to comply with the mentioned legal provisions, within 24 hours,

In accordance with the applicable legal provisions,

COMPLETING THE AGENDA:

Agenda supplemented by item 1

- 1. Considering the expiration of the term of office of the director of Mr. Constantin Sorin-George on 29.11.2022, the election of a member of the Board of Directors of the Company, for a term of 4 years, starting with 30.11.2022. The exercise of the**

attributions of member of the Board of Directors of the Company, is done only after obtaining the approval decision issued by F.S.A.

2. Approval of the extension of the mandate of the member of the Board of Directors Constantin Sorin-George, starting with the expiration date of the present mandate, respectively 29.11.2022, and until 26.04.2024. The exercise of the attributions related to the extension period of the mandate is done only after obtaining the approval decision issued by FSA.
3. Approval of the date of **09.09.2022** as the date of registration (ex-date **08.09.2022**) of the shareholders affected by the effects of the decisions adopted by the Ordinary General Meeting of Shareholders, according to art. 87 para. (1) of Law no. 24/2017.
4. Approval of the mandate, with the possibility of substitution, of Mrs. Ivan Monica-Adriana and Mr. Raț Răzvan Legian, so that together or separately, to carry out all the procedures and formalities provided by law, including the modification of the constitutive act for carrying out the decisions of the Assembly, submit and take documents and sign for this purpose on behalf of the Company, in relation to the Trade Register, ASF, BVB, as well as other public or private entities.

At the Ordinary General Meeting of Shareholders are entitled to participate and vote all registered shareholders at the end of the day of **05.08.2022** set as the reference date.

The access of the shareholders entitled to participate in the “OGMS” is allowed by the simple proof of their identity, made in the case of the natural shareholders with the identity document, and in the case of the legal shareholders and of the represented natural shareholders, with special or general power of attorney. the natural person who also represents the identity document of the representative. The power of attorney form can be obtained from the Company's headquarters starting with **15.07.2022** and from the website www.brk.ro, being available in both Romanian and English.

The shareholders of the Company, regardless of their participation in the share capital, may submit proposals for candidates for appointment as a member of the board of directors, including information on the name, place of residence and professional qualification of the proposed persons and accompanied by (i) a copy of the valid identity document of the shareholder / Company (in case of individuals, bulletin / identity card / passport, respectively in case of legal entities, bulletin / identity card / passport of the legal representative registered in the list of shareholders of the Company, issued by Depozitarul Central S.A.) , (ii) curriculum vitae of the proposed person and (iii) note on informing the candidate about the processing of personal data (in the form available on the Company's website).

The shareholders of the Company, regardless of the participation held in the share capital, as well as the directors of the Company may submit proposals for candidates for appointment as a member of the board of directors, until July 25, 2022, at 17:00.

Proposals will be accompanied by information on the name, place of residence and professional qualification of the persons proposed for the position, as well as by

- a) The proposal itself, which may mention a candidate for the position of member of the Board of Directors of BRK Financial Group SA, under the authorized signature, where applicable;
- b) Copy of the identity document, the conformity of which with the original is certified by handwritten signature by the holder of the identity document;
- c) Curriculum Vitae of the candidate, updated, dated and signed, in original (in Europass format), specifying the relevant studies and training courses, professional experience, including the name of all organizations for which the candidate worked / works, nature and duration the duties performed, in particular as regards activities which are relevant to the position of administrator; in the case of positions held in the last 10 years, details of all delegated competencies, internal decision-making competencies and areas of activity under the control of the candidate or, where applicable, in which he has worked must be specified when describing those activities; where applicable, the supervisory authority of the entities in which the candidate has carried out his activity shall be indicated;
- d) Copy of the study certificate and other relevant certificates held, certificates of conformity by the candidate;
- e) Declaration on one's own responsibility that it meets the legal and statutory conditions in order to hold the quality of administrator, signed in original;
- f) The declaration on the candidate's own responsibility completed with the information provided in annex no. 1 to the FSA Regulation no. 1/2019
- g) Criminal record certificate and tax record certificate within the legal validity period or other equivalent document issued by the competent authorities of the country in which he has established his domicile and / or residence, as well as of the state of origin, if the domicile / the residence is in a state other than the country of origin; for persons who have established their residence in Romania for less than 3 years,

the criminal and fiscal record certificate or other equivalent document issued by the competent authorities of the last countries where they had previously established their domicile and / or residence is also presented.

h) List of persons who can provide references, letters of recommendation regarding the reputation and experience of the evaluated person, including their contact details

i) Declaration on own responsibility regarding the conflict of interests

(j) the note on informing the candidate about the processing of personal data.

In accordance with the applicable legal provisions, as well as with art. 11 para. 5 of the Articles of Association of the Company, the candidates for the position of administrator will cumulatively meet the minimum requirements regarding integrity, qualification and professional experience provided by the regulations and legal provisions.

The list containing information on the name, place of residence and professional qualification of the persons proposed for the position of administrator is available to shareholders and can be consulted and completed by them, on the company's website www.brk.ro in the Investor Relations section.

The special or general power of attorney will be drawn up in three original copies (one for the company, one for the principal, one for the agent). Proxies and a copy of the identity document or certificate of registration and the certificate of registration issued by the trade register or any other document issued by a competent authority of the state in which the shareholder is legally registered (up to 3 months old compared to the date of publication of the convening notice of the general meeting) will be submitted / sent in original at the Company's headquarters or sent by e-mail until **15.08.2022** at **12.00**, to the email address office@brk.ro.

In the case of a special power of attorney granted by a shareholder to a credit institution providing custody services, it shall be signed by that shareholder and shall be accompanied by a statement on its own responsibility given by the credit institution that received the power of attorney, which shows that:

- the credit institution provides custody services for that shareholder,
- the instructions in the special power of attorney are identical to the instructions in the SWIFT message received by the credit institution to vote on behalf of that shareholder,
- the special power of attorney is signed by the shareholder.

On the date of the meeting, at the entrance to the meeting room of the general assembly, the designated representative will hand over the original power of attorney, if it was sent by e-mail with the electronic signature incorporated, and a copy of his identification documents.

One or more shareholders, representing individually or together at least 5% of the share capital, have the right to enter items on the agenda of the General Meetings.

Proposals for the introduction of new items on the agenda of the general meeting, ie draft decisions for items included or proposed to be included on the agenda of the general meeting, will be accompanied by copies of the identification documents of the initiators. These refer to the identity documents (identity card / identity card) in the case of natural persons and the registration and ascertaining certificates or any other document issued by a competent authority of the state where the shareholder is legally registered (with a maximum age of 2 months compared to the date of publication of the convening notice of the general meeting) indicating the holders of the quality of legal representatives for the legal persons shareholders of the Company. Documents certifying the status of legal representative drawn up in a foreign language other than English will be accompanied by a translation made by an authorized translator, in Romanian or in English, and the determination of the status of legal representative will be based on the list of shareholders, provided by the Central Depository. The proposals will be submitted at the Company's headquarters no later than **01.08.2022**, at **17.00**, in a sealed envelope, with the statement written in clear and capital letters "FOR THE ORDINARY GENERAL MEETING OF SHAREHOLDERS" or sent by e-mail, with signature electronic incorporation according to Law no. 455/2001, regarding the electronic signature, in the same term at: office@brk.ro, mentioning on the subject "FOR THE ORDINARY GENERAL MEETING OF SHAREHOLDERS".

Shareholders are informed that with regard to the proposals for the introduction of new items on the agenda of the meeting, it is necessary that for each item proposed by the initiators there is a justification or a draft decision proposed for adoption by the general meeting.

The latest updated version of the proxies and ballot papers by mail will be available on the company's website starting with **04.08.2022**, at **17.00**.

Each shareholder has the right to address written questions to the Board of Directors before the date of the general meeting, regarding the items on the agenda, according to art. 198-199 of the FSA Regulation no. 5/2018. The answer is considered given if the requested information is published on the company's website.

Shareholders registered on the reference date in the register of shareholders have the opportunity to vote by mail, before the date of the meeting using the voting form by mail. The voting form by correspondence, together with a copy of the identity document and / or the certificate of registration and the certificate of verification issued by the ORC or any other document of the shareholder, issued by a competent authority of the state in which the shareholder is legally registered (with a seniority of no more than 3 months compared to the date of publication of the convening notice of the general meeting) will be sent to the Company, in original, at its headquarters or by e-mail, with extended electronic signature, incorporated according to Law no. 455/2001, at the email address **office@brk.ro**, until **15.08.2022 at 12.00**. The forms will be written in either Romanian or English. Shareholders who voted by special proxies or ballot papers by mail may change their initial voting option or means of voting, being considered valid the last vote cast and registered until **15.08.2022, 12.00**.

In the event that the shareholder who cast his vote by mail participates in person or through a representative at the general meeting, the vote by mail cast for that general meeting will be canceled. In this case, only the vote cast in person or by the representative shall be taken into account.

Mailing ballot forms, special proxy forms (both available in Romanian and English), documents and information materials on the issues on the agenda, including draft decisions, are made available to shareholders from of **15.07.2022, 17.00** on the company's website at www.brk.ro and at the company's headquarters in Cluj-Napoca, str. Moșilor no. 119.

The Board of Directors recommends to the shareholders to consult the support materials for the OGMS, available on the website www.brk.ro starting with the date of publication of the Convening with additional in the Official Gazette of Romania, part IV.

Monica Ivan
General Manager