

AGREEMENT REGARDING THE PROCESSING OF PERSONAL DATA

CANDIDATE

The undersigned, Romanian citizen /, born on, In, Domiciled in Str., No., bl...., sc., et., Ap...., County / sector, holder / holders of CI / BI / Passport, series... .. no. issued by, On, CNP.... .., hereby declare that:

- agree to the processing by SSIF BRK FINANCIAL GROUP S.A. of my personal data from this declaration, as well as from the documents submitted to the Company within the application file in compliance with the specific legislation in force, in order to:

-my election as administrator of SSIF BRK FINANCIAL GROUP S.A. ;

-registering at the Trade Register Office in case of election as administrator of SSIF BRK FINANCIAL GROUP S.A.

I declare that I have taken note of the provisions contained in **Information note regarding the processing of personal data of candidates for the position of member of the Board of Directors within SSIF BRK FINANCIAL GROUP S.A.**

☐ YES

☐ NO

Name and surname: _____

Signature: _____

Date: _____

INFORMATION NOTE REGARDING THE PROCESSING OF PERSONAL DATA OF SHAREHOLDERS - SSIF BRK Financial Group S.A.

SSIF BRK FINANCIAL GROUP S.A., Romanian legal entity with registered office in Cluj-Napoca, str. Calea Moșilor no. 119, no. of registration in the Trade Register J12 / 3038/1994, unique identification code 6738423, we inform you about the way we process personal data in the context of the activity carried out by BRK, as well as about the rights you have as a data subject, starting with May 25, 2018 (date of application of the General Regulation on Data Protection).

I. WHAT DATA CATEGORIES DO WE PROCESS?

Where appropriate, BRK processes the following categories of personal data of: (i) the company's shareholders (natural persons), (ii) the legal representatives of the company's shareholders - legal persons or entities without legal status, (iii) general or special proxies of the company's shareholders, (iv) alternate representatives of the shareholders, if the proxy holder is unable to fulfill his mandate, (vi) successors of the company's shareholders:

- identification data, such as name, surname, CNP, serial number and IC number / other document with identification function (eg passport, registration certificate, etc.), as well as other information contained therein (e.g. date and place of birth, citizenship, etc.), signature;
- contact details, such as: home address, correspondence address, e-mail, telephone;
- number of shares;
- information necessary for dividend payments (such as the history of dividend receipts, the account in which the dividends were / will be paid, amounts paid as dividends, amounts seized, etc.);
- the data regarding the participation and the way of exercising the vote within the general meeting, such as: the place of participation, the way of exercising the vote, the debates held during the shareholders' meetings;
- voice, if you physically participate in the GMS and if your calls are registered as a BRK shareholder.

II. WHERE DO WE HAVE THE PERSONAL DATA?

We process the personal data you provide to us, directly (for example, through requests for the introduction of additional items on the agenda, by attending the meeting or by letter and electronic correspondence) or indirectly (for example : by proxy or other persons representing you in your capacity as a shareholder of the company, through Depozitarul Central S.A. as a registration company of BRK).

III. WHY DO WE PROCESS PERSONAL DATA?

COMPLIANCE WITH LEGAL REQUIREMENTS AND INTERNAL RULES

We process personal data in order to comply with the applicable legal obligations, respectively: (i) art. 6, paragraph 1, letter c) of the GDPR, (ii) Law 31/1990 on companies, (iii) Law no. 24/2017 on issuers of financial instruments and market operations, (iv) Regulation no. 5/2018 on issuers of financial instruments and market operations, (v) the Articles of Association of BRK, (iv) other statutory provisions applicable to

the organization and conduct of the General Meeting of Shareholders ("GMS"), for the purpose of exercising shareholder rights to :

- participate and vote at the GMS;
- introduces new items on the agenda of the BRK GMS, to present draft decisions for the items included or proposed to be included on the agenda of the general meetings;
- to make proposals regarding the candidates for the positions of administrators, in case the election of the administrators is on the agenda;
- have access to information on the GMS;
- addressing questions;
- benefit from dividends, in the amount and conditions established by the GMS;
- to benefit from other rights according to the decisions of the GMS.

V. TO WHOM WE DISCLOSE PERSONAL DATA

We may disclose personal data to:

- a) the shareholders appointed to provide the Secretariat of the General Meetings;
- b) Trade Register Office;
- c) The Central Depository;
- d) Official Gazette;
- e) Courts;
- f) Notary offices for carrying out succession procedures;
- g) Regulatory and supervisory authorities (Financial Supervisory Authority, National Bank of Romania);
- h) Bucharest Stock Exchange;
- i) Other relevant authorities.
- j) Company proxies (eg lawyers, appraisers, accountants, internal or external auditors and auditors, service providers, IT services, archiving in physical and / or electronic format).

VI. DATA TRANSFER ABROAD

As a general rule, we process your personal data on the territory of Romania or in countries belonging to the European Economic Area (EEA).

VII. HOW LONG DO WE KEEP YOUR DATA?

We keep your personal data as necessary for the purposes for which it was collected, in compliance with the applicable legal provisions, as well as the internal procedures for data retention (including archiving rules applicable at BRK level).

VIII. WHAT RIGHTS DO YOU BENEFIT FROM?

According to the law, you benefit from the following rights related to the processing of your personal data that we perform:

a) The right of access: you can obtain confirmation from us that we process your personal data, as well as information on the specifics of the processing such as: purpose, categories of personal data processed, recipients of the data, period for which the data is kept, the existence of the right to rectify, deletion or restriction of processing. This right allows you to get a free copy of the personal data processed, as well as for any additional copies.

b) The right to rectification of data: you can ask us to change your personal data incorrectly or, if necessary, to fill in data that is incomplete.

c) The right to delete: you may request the deletion of your personal data when: (i) they are no longer necessary for the purposes for which we collected and process them; (ii) you have withdrawn your consent for the processing of personal data and we can no longer process them on other legal grounds; (iii) personal data are processed illegally; respectively (iv) personal data must be deleted in accordance with the relevant legislation.

d) Withdrawal of consent: you may at any time withdraw your consent to the processing of personal data processed on the basis of consent, but this does not affect in any way the processing carried out before the withdrawal.

e) The right of opposition: you may object at any time to processing for marketing purposes, including profiling performed for this purpose, as well as processing based on the legitimate interest of BRK, for reasons related to your specific situation.

f) Restriction: you can request a restriction on the processing of your personal data if:

(i) challenge the correctness of the personal data for a period which allows us to verify the accuracy of the data in question;

(ii) the processing is illegal and you object to the deletion of personal data, instead requesting the restriction of their use;

(iii) we no longer need the data for processing, but you request it from us for a court action; those

(iv) if you objected to the processing, for the period during which it is verified whether BRK's legitimate rights as an operator prevail over your rights as a data subject.

g) The right to portability: you may request us, in accordance with the law, to provide you with your personal data which you have provided to us in a structured form, used frequently and which can be read automatically. Also, if you expressly request us, we may pass on your personal data to another entity, if technically possible. You will only be able to exercise your right to portability if (cumulatively):

(i) processing is carried out by automatic means;

(ii) the processing is carried out on the basis of your consent or to perform a contract with you.

i) The right to file a complaint: to the National Authority for the Supervision of Personal Data Processing: you have the right to file a complaint with the National Authority for the Supervision of Personal Data Processing if you consider that your rights have been violated:

National Authority for the Supervision of Personal Data Processing

B-dul G-ral. Gheorghe Magheru 28-30 Sector 1, postal code 010336 Bucharest, Romania

anspdcp@dataprotection.ro

FOR THE EXERCISE OF THE RIGHTS MENTIONED IN POINTS a) - g) ABOVE, YOU CAN CONTACT US USING THE CONTACT DATA MENTIONED IN SECTION IX (CONTACT).

IX. CONTACT

If you have any questions about this information note, or you wish to exercise your rights as a data subject, you may contact us using the following contact details:

Mailing address:

119, Calea Moșilor, Cluj-Napoca, Cluj, Romania

E-mail: office@brk.ro

Tel: 0364-401 409