

Nr.3829/27.06.2016

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date: 27.06.2016

Name of the company: SSIF BRK Financial Group SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423, Capital: 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC.

1/2006.

A. DISPUTES THE SSIF BRK Finacial Group - IS PART - sued

1). File no. 7076/221/2014 - Court Deva Applicant: Cotolan Petru and Cotalan Maria,

Defendant: SSIF BRK Financial Group, Subject: material claims worth 59,500 lei,

Deadline: 06.09.2016

2). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3). File no. 1034/90/2014 / a13 - Court Valcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Deadline 14.09.2016.

4). File nr.2236 / 117/2015 - Court Cluj

Applicant: Danila Augustin

Defendant: SSIF BRK Financial Group

Subject: Appeal to disolution of employment contract.

Company file the appeal on 13.05.2016 5). File 11505/190/2014 – Court Cluj Applicant: Molnar Simona Iulia Defendant: SSIF BRK Financial Group

Subject: divorce property division

Deadline: 15.09.2016



B. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1). File nr.6953 / 97/2010- Court Hunedoara

Applicant: SSIF BRK Financial Group

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei) .

Deadline: 01.03.2016. Accepted in part the civil action filed by SSIF BRK Financial Group

The Company will file an appeal.

2). File no. 2292/89/2016 – Court Iasi Applicant: SSIF BRK Financial Group

Defendant: SC Petal SA Husi Subject: finding invalidity act.

Deadline: 09.09.2016

3). File no. 832/90/2015 Court Valcea Applicant: SSIF BRK Financial Group

Defendant: SC Socom SA, Stefan N. Voinea, Subject: Action for - transfer ownership

Deadline: 26.02.2016. Solution: The file is suspended

4). File 444/89/2016 Court Vaslui Applicant: SSIF BRK Financial Group

Defendant: VASTEX VASLUI Subject: claims – payment shares

Deadline: 30.05.2016

Solution: Postponed due to taking of evidence.

5).File 1698/108/2016

Applicant: SSIF BRK FINANCIAL GROUP

Subject: Contesting the seizure established in 2009 on the some estates of company, in litigation with the clients

from Deva. Contestation is filed as a rezult of payment of the amounts fixed by the Court.

Deadline: 24.05.2016

Solution: Admits the contestation.

5). File 1839/2/2016. Bucharest Appeal Court

Applicant SSIF BRK Financial Group

Defendant: ASF

Subject: cancellation administrative act no. 1288/16.06.2015

Deadline: 06.10.2016

Vicechairman of the Board Tiberiu Stratan