

Nr.1535/16.03.2016

To,  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Current report under Regulation no. 1/2006

**Report date:** 16.03.2016

**Name of the company:** SSIF Broker SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 54.039.987,04 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. Criminal Cases:

1) File no. 15084/320/2013 - Targu Mures Appeal Court

The culprit: Muresan Blasiu Dorin, Achim Ioan Marcel

The injured party: SSIF Broker SA,

Civilly responsible party: SSIF Broker SA,

Amount: 140,000 lei,

Subject: embezzlement cf art. 215 ind. 1 of the CPC.

Solution: decision 161/2016. Rejects appeals by parties for lateness and baseless

B. DISPUTES THE SSIF BROKER - IS PART - sued

1). File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria,

Defendant: SSIF Broker SA,

Subject: material claims worth 59,500 lei,

Deadline : 22.03.2016

2). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF Broker SA

Subject: material claims worth 322,369 lei,

Deadline: 23.03.2016

3). File no. 1034/90/2014 / a13 – Court Valcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BROKER S.A.

Subject: Action for annulment

Deadline 20.04.2016.

4). File nr.2236 / 117/2015 – Court Cluj

Applicant: Danila Augustin

Defendant: SSIF Broker SA

Subject: Appeal to dissolution of employment contract.

Deadline: 11/12/2015

The Court accepts in part, the applicant claims.

5). File 11505/190/2014 – Court Cluj

Applicant: Molnar Simona Iulia

Defendant: SSIF Broker

Subject: divorce property division

Deadline: 31.03.2016

#### C. DISPUTES THE SSIF BROKER SA IS PART- claimant

1). File nr.6953 / 97/2010- Court Hunedoara

Applicant: SSIF Broker SA

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei) .

Deadline: 01.03.2016. Accepted in part the civil action filed by SSIF Broker SA .

The Company will file an appeal.

2). File no. 2292/89/2016 – Court Vaslui

Applicant: SSIF Broker SA

Defendant: SC Petal SA Husi

Subject: finding invalidity act.

Solutions: Court rejects the claim. Company will appeal.

3). File no. 832/90/2015 Court Valcea

Applicant: SSIF Broker SA

Defendant: SC Socom SA, Stefan N. Voinea,

Subject: Action for - transfer ownership

Deadline: 26.02.2016. Solution : The file is suspended

4). File no. 2909/117/2011 – Court Cluj

Applicant: SSIF BROKER SA

Defendant: Constantin Adriana

Subject: Patrimonial liability action

Solution: Notes that the applicant's action is obsolete. Right to appeal within 5 days from pronouncement.

5). File 444/89/2016 Court Vaslui

Applicant : SSIF Broker SA

Defendant: VASTEX VASLUI

Subject: claims – payment shares

Deadline: not fixed yet

Chairman of the Board

Mihai Buliga