

Nr.3953 /15.07.2015

To,  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Current report under Regulation no. 1/2006

**Report date:** 15/07/2015

**Name of the company:** SSIF Broker SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 84,670,466.75 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006 and . 11/2010.

A. Criminal Cases:

1) File no. 15084/320/2013 - Targu Mures Court

The culprit: Muresan Blasiu Dorin, Achim Ioan Marcel

The injured party: SSIF Broker SA,

Civilly responsible party: SSIF Broker SA,

Amount: 140,000 lei,

Subject: embezzlement cf art. 215 ind. 1 of the CPC.

Deadline 02/07/2015

the court admits the prescription of criminal liability of the defendant Achim Ioan Marcel under the accusation of embezzlement. The appeal within 10 days from notice.

B. DISPUTES THE SSIF BROKER - IS PART - sued

1). File no. 6769/97 / 2011- Commercial Court Cluj-Napoca

Applicant: Fratilescu Fabian Constantin Alexandru

Defendant: SSIF Broker SA

Subject: claims :281,500 lei

The case was suspended and reinstated pending.

The judgment of the Court of Appeal rejected the appeal Cluj was the SSIF Broker SA. The Company will file an appeal.

2). File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria,

Defendant: SSIF Broker SA,

Subject: material claims worth 59,500 lei,

At a hearing on May 18, 2015, the court ordered the suspension of the case, given the fact that the applicants have complied with its provisions, to submit a number of documents probation.

3). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF Broker SA

Subject: material claims worth 322,369 lei,

At the hearing on 16.03.2015 has decided to suspend Case pending judgment by the ICCJ in criminal case no. 6087/97/2009.

4). File no. 1034/90/2014 / a13  
Applicant: AMT INSOLVENCY SERVICES  
Defendant: SC HARINVEST SA and SSIF BROKER S.A.  
Subject: Action for annulment  
Deadline 21/10/2015.  
5). File nr.2236 / 117/2015  
Applicant: Danila Augustin  
Defendant: SSIF Broker SA  
Subject: Appeal to dissolution of employment contract  
Deadline: 09.10.2015

C. DISPUTES THE SSIF BROKER SA IS PART- claimant

1). File nr.6953 / 97/2010-Court Hunedoara  
Applicant: SSIF Broker SA  
Defendant: Romanian Post National Company  
Subject: claims (981,730.01 lei) reopens.  
Deadline: 23/09/2015. Time allowed for probation administration.  
2). File no. 3883/85/2014 Court Sibiu  
Applicant: SSIF Broker SA  
Defendant: SC MOARA Cibin SA  
Subject: Actions to order to do  
Deadline: 30/09/2015. The term of probation was granted to administration  
3). File no. Vaslui Court 756/89/2015  
Applicant: SSIF Broker SA  
Defendant: SC Petal SA Husi  
Subject: finding invalidity act.  
It was admitted SSIF BROKER SA filed review . It was decided to refer the case to the original panel.  
4). File no. 832/90/2015 Court Valcea  
Applicant: SSIF Broker SA  
Defendant: SC Socom SA, Stefan N. Voinea,  
Subject: Action for - transfer ownership  
Deadline: 01/10/2015  
5). File no. 2909/117/2011  
Applicant: SSIF BROKER SA  
Defendant: Constantin Adriana  
Subject: Patrimonial liability action  
Deadline: 03.09.2015

President of the BOARD  
Mihai Buliga