

Nr. 4952/07.09.2016

**To,**  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Current report under Regulation no. 1/2006

**Report date:** 07.09.2016

**Name of the company:** SSIF BRK Financial Group SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 54.039.987,04 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued

1). File no. 7076/221/2014 - Court Deva

Applicant: Cotolan Petru and Cotolan Maria,

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei,

Deadline: 18.10.2016

2). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3). File no. 1034/90/2014 / a13 – Court Valcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Deadline 14.09.2016.

4). File 11505/190/2014 – Court Cluj

Applicant: Molnar Simona Iulia

Defendant: SSIF BRK Financial Group

Subject: divorce property division

Deadline: 15.09.2016

5). File 4945/211/2016 – Court Cluj

Applicant: Luca Camelia

Defendant: SSIF BRK Financial Group

Subject: liability action

Deadline: 21.09.2016

6). File 4947/211/2016 – Court Cluj

Applicant : Luca Adrian Nicolae

Defendant: SSIF BRK Financial Group  
SA Subject: liability action  
Deadline: 27.09.2016  
7) File 4941/211/2016 – Court Cluj  
Applicant : Kucaba Doina  
Defendant: SSIF BRK Financial Group  
Subject: liability action  
Deadline: 28.09.2016

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

- 1). File nr.6953 / 97/2010- Court Alba-Iulia  
Applicant: SSIF BRK Financial Group  
Defendant: Romanian Post National Company  
Subject: claims (981,730.01 lei)  
Deadline: 29.09.2016
- 2) File no. 2292/89/2016 – Court Iasi  
Applicant: SSIF BRK Financial Group  
Defendant: SC Petal SA Husi  
Subject: finding invalidity act.  
Deadline: 09.09.2016
- 3) File no. 832/90/2015 Court Valcea  
Applicant: SSIF BRK Financial Group  
Defendant: SC Socom SA, Stefan N. Voinea  
Subject: Action for - transfer ownership  
Deadline: 26.02.2016.  
Solution: The file is suspended.
- 4). File 444/89/2016 Court Vaslui  
Applicant : SSIF BRK Financial Group  
Defendant: VASTEX VASLUI  
Subject: claims – payment shares  
Deadline: 03.10.2016  
Solution: Postponed due to taking of evidence.
- 5). File 1839/2/2016. Bucharest Appeal Court  
Applicant SSIF BRK Financial Group  
Defendant: ASF  
Subject: cancellation administrative act no. 1288/16.06.2015  
Deadline: 06.10.2016

Vicechairman of the Board  
Tiberiu Stratan