

Nr.1287/04.03.2016

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date: 04.03.2016

Name of the company: SSIF Broker SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423, Capital: 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC.

1/2006.

A. Criminal Cases:

1) File no. 15084/320/2013 - Targu Mures Appeal Court The culprit: Muresan Blasiu Dorin, Achim Ioan Marcel

The injured party: SSIF Broker SA, Civilly responsible party: SSIF Broker SA,

Amount: 140,000 lei,

Subject: embezzlement cf art. 215 ind. 1 of the CPC.

Deadline 10.03.2016

B. DISPUTES THE SSIF BROKER - IS PART - sued

1). File no. 7076/221/2014 - Court Deva Applicant: Cotolan Petru and Cotalan Maria,

Defendant: SSIF Broker SA,

Subject: material claims worth 59,500 lei,

Deadline: 22.03.2016

2). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu Defendant: SSIF Broker SA

Subject: material claims worth 322,369 lei,

Deadline: 10.03.2016

3). File no. 1034/90/2014 / a13 – Court Valcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BROKER S.A.

Subject: Action for annulment

Deadline 20.04.2016.

4). File nr.2236 / 117/2015 - Court Cluj

Applicant: Danila Augustin Defendant: SSIF Broker SA





Subject: Appeal to disolution of employment contract.

Deadline: 11/12/2015

The Court accepts in part, the applicant claims.

5). File 11505/190/2014 – Court Cluj Applicant: Molnar Simona Iulia

Defendant: SSIF Broker

Subject: divorce property division

Deadline: 31.03.2016 6). File 195/89/2016 Applicant: VASTEX VASLUI Defendand: SSIF Broker SA

Subject: complaint against ORC director resolution

Deadline: 14.03.2016

C. DISPUTES THE SSIF BROKER SA IS PART- claimant

1). File nr.6953 / 97/2010- Court Hunedoara

Applicant: SSIF Broker SA

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei) .

Deadline: 01.03.2016. Accepted in part the civil action filed by SSIF Broker SA.

The Company will file an appeal.

2). File no. 2292/89/2016 - Court Vaslui

Applicant: SSIF Broker SA Defendant: SC Petal SA Husi Subject: finding invalidity act.

Deadline: 10.03.2016

3). File no. 832/90/2015 Court Valcea

Applicant: SSIF Broker SA

Defendant: SC Socom SA, Stefan N. Voinea, Subject: Action for - transfer ownership

Deadline: 26.02.2016. Solution: The file is suspended

4). File no. 2909/117/2011 - Court Cluj

Applicant: SSIF BROKER SA
Defendant: Constantin Adriana
Subject: Patrimonial liability action

Solution: Notes that the applicant's action is obsolete. Right to appeal within 5 days from pronouncement.

5). File 1891/89/2015 Court Vaslui

Applicant : SSIF Broker SA Defendant: VASTEX VASLUI

Subject: action for annulment of the GSM decision

Deadline: 10.03.2016

Chairman of the Board Mihai Buliga