

Nr. 6430/04.12.2015

To,  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Current report under Regulation no. 1/2006

**Report date:** 04.12.2015

**Name of the company:** SSIF Broker SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 54.039.987,04 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. Criminal Cases:

1) File no. 15084/320/2013 - Targu Mures Appeal Court

The culprit: Muresan Blasiu Dorin, Achim Ioan Marcel

The injured party: SSIF Broker SA,

Civilly responsible party: SSIF Broker SA,

Amount: 140,000 lei,

Subject: embezzlement cf art. 215 ind. 1 of the CPC.

Deadline 21/01/2016

B. DISPUTES THE SSIF BROKER - IS PART - sued

1). File no. 6769/97 / 2011- Commercial Court Cluj-Napoca

Applicant: Fratilescu Fabian Constantin Alexandru

Defendant: SSIF Broker SA

Subject: claims 281,500 lei

The case was suspended and reinstated pending.

The judgment of the Court of Appeal rejected the claim. The Company will file an appeal.

2). File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria,

Defendant: SSIF Broker SA,

Subject: material claims worth 59,500 lei,

Deadline : 26/01/2016

3). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF Broker SA

Subject: material claims worth 322,369 lei,

At the hearing on 16.03.2015 has decided to suspend Case pending judgment by the ICCJ in criminal case no. 6087/97/2009.

4). File no. 1034/90/2014 / a13 – Court Valcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BROKER S.A.

Subject: Action for annulment  
Deadline 27/01/2016.  
5). File nr.2236 / 117/2015 – Court Cluj  
Applicant: Danila Augustin  
Defendant: SSIF Broker SA  
Subject: Appeal to dissolution of employment contract  
Deadline: 11/12/2015  
6). File 17707/211/2015 – Court Cluj  
Applicant: TRAINWIZZ SRL  
Defendant: SSIF BROKER SA  
Subject: Payment order 20.000 lei  
Deadline: 15/12/2015

C. DISPUTES THE SSIF BROKER SA IS PART- claimant

1). File nr.6953 / 97/2010- Court Hunedoara  
Applicant: SSIF Broker SA  
Defendant: Romanian Post National Company  
Subject: claims (981,730.01 lei) reopens.  
Deadline: 20/01/2016.  
2). File no. 3883/85/2014 Court Sibiu  
Applicant: SSIF Broker SA  
Defendant: SC MOARA Cibin SA  
Subject: Actions to order to do  
Deadline: 27/01/2016.  
3). File no. 756/89/2015 – Court Vaslui  
Applicant: SSIF Broker SA  
Defendant: SC Petal SA Husi  
Subject: finding invalidity act.  
Deadline: 26/11/2015 – At this hearing, the judgment of the Court rejected the claim. The Company will file an appeal.  
4). File no. 832/90/2015 Court Valcea  
Applicant: SSIF Broker SA  
Defendant: SC Socom SA, Stefan N. Voinea,  
Subject: Action for - transfer ownership  
Deadline: 11/12/2015  
5). File no. 2909/117/2011 – Court Cluj  
Applicant: SSIF BROKER SA  
Defendant: Constantin Adriana  
Subject: Patrimonial liability action  
Deadline: 07/01/2016

Chairman of the Board  
Mihai Buliga