

Nr. 5379/02.10.2015

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date: 02/10/2015

Name of the company: SSIF Broker SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423, **Capital:** 84,670,466.75 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006 and . 11/2010.

A. Criminal Cases:

1) File no. 15084/320/2013 - Targu Mures Appeal Court

The culprit: Muresan Blasiu Dorin, Achim Ioan Marcel

The injured party: SSIF Broker SA,

Civilly responsible party: SSIF Broker SA,

Amount: 140,000 lei,

Subject: embezzlement cf art. 215 ind. 1 of the CPC.

Deadline 21/11/2015

B. DISPUTES THE SSIF BROKER - IS PART - sued

1). File no. 6769/97 / 2011- Commercial Court Cluj-Napoca

Applicant: Fratilescu Fabian Constantin Alexandru

Defendant: SSIF Broker SA

Subject: claims 281,500 lei

The case was suspended and reinstated pending.

The judgment of the Court of Appeal rejected the appeal Cluj was the SSIF Broker SA. The Company will file an appeal.

2). File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria,

Defendant: SSIF Broker SA,

Subject: material claims worth 59,500 lei,

At a hearing on May 18, 2015, the court ordered the suspension of the case, given the fact that the applicants have complied with its provisions, to submit a number of documents probation.

3). File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF Broker SA

Subject: material claims worth 322,369 lei,

At the hearing on 16.03.2015 has decided to suspend Case pending judgment by the ICCJ in criminal case no. 6087/97/2009.

4). File no. 1034/90/2014 / a13

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BROKER S.A.

Subject: Action for annulment

Deadline 21/10/2015.

5). File nr.2236 / 117/2015

Applicant: Danila Augustin

Defendant: SSIF Broker SA

Subject: Appeal to dissolution of employment contract

Deadline: 09.10.2015

6). File 17707/211/2015

Applicant: TRAINWIZZ SRL

Defendant: SSIF BROKER SA

Subject: Payment order 20.000 lei

Deadline: 05.11.2015

C. DISPUTES THE SSIF BROKER SA IS PART- claimant

1). File nr.6953 / 97/2010-Court Hunedoara

Applicant: SSIF Broker SA

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei) reopens.

Deadline: 04/11/2015.

2). File no. 3883/85/2014 Court Sibiu

Applicant: SSIF Broker SA

Defendant: SC MOARA Cibin SA

Subject: Actions to order to do

Deadline: 14/10/2015.

3). File no. Vaslui Court 756/89/2015

Applicant: SSIF Broker SA

Defendant: SC Petal SA Husi

Subject: finding invalidity act.

Deadline: 22/10/2015

4). File no. 832/90/2015 Court Valcea

Applicant: SSIF Broker SA

Defendant: SC Socom SA, Stefan N. Voinea,

Subject: Action for - transfer ownership

Deadline: 13/11/2015

5). File no. 2909/117/2011

Applicant: SSIF BROKER SA

Defendant: Constantin Adriana

Subject: Patrimonial liability action

Deadline: 29/10/2015

President of the BOARD

Mihai Buliga