

To,  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Nr. 5270/30.09.2016

**Current report under Regulation no. 1/2006**

**Report date:** 30.09.2016

**Name of the company:** SSIF BRK Financial Group SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 54.039.987,04 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

**A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued**

1) File no. 7076/221/2014 - Court Deva

Applicant: Cotolan Petru and Cotolan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Deadline: 18.10.2016

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3) File no. 1034/90/2014/a13 – Court Valcea Applicant:

AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: reject the plea of inadmissibility as unfounded.

With appeal rights.

Deadline: 23.11.2016.

4) File 4945/211/2016 – Court Cluj

Applicant: Luca Camelia

Defendant: SSIF BRK Financial Group

Subject: liability action

Solution: postponed the pronouncement

Deadline: 05.10.2016

5) File 4947/211/2016 – Court Cluj

Applicant: Luca Adrian Nicolae

Defendant: SSIF BRK Financial Group

SA Subject: liability action

Solution: postponed the pronouncement

Deadline: 11.10.2016

6) File 4941/211/2016 – Court Cluj

**Capital Social: 54.039.987,04 lei**

**RO14BTRL06601202R1814001, BT-sucursala Zorilor, Cluj-Napoca**

**ANSPDCP nr. Inreg. 11847-2009, Decizia CNVM 3097/10.09.2003**

**Nr. Inreg. la ORC J12/3038/1994 CUI 6738423**

Applicant: Kucaba Doina  
Defendant: SSIF BRK Financial Group  
Subject: liability action  
Solution: postponed the pronouncement  
Deadline: 12.10.2016

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

- 1). File nr.6953/97/2010- Court Alba-Iulia  
Applicant: SSIF BRK Financial Group  
Defendant: Romanian Post National Company  
Subject: claims (981,730.01 lei)  
Solution: postponed the pronouncement  
Deadline: 06.10.2016
- 2) File no. 832/90/2015 Court Valcea  
Applicant: SSIF BRK Financial Group  
Defendant: SC Socom SA, Stefan N. Voinea  
Subject: Action for - transfer ownership  
Deadline: 26.02.2016.  
Solution: The file is suspended.
- 3) File 444/89/2016 Court Vaslui  
Applicant: SSIF BRK Financial Group  
Defendant: VASTEX VASLUI  
Subject: claims – payment shares  
Deadline: 03.10.2016  
Solution: Postponed due to taking of evidence.
- 4) File 1839/2/2016. Bucharest Appeal Court  
Applicant SSIF BRK Financial Group  
Defendant: ASF  
Subject: cancellation administrative act no. 1288/16.06.2015  
Deadline: 06.10.2016

Vicechairman of the Board  
Tiberiu Stratan