

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Nr. 5222/28.09.2016

Current report under Regulation no. 1/2006

Report date: 28.09.2016

Name of the company: SSIF BRK Financial Group SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423, **Capital:** 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued

1) File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Deadline: 18.10.2016

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3) File no. 1034/90/2014/a13 – Court Valcea Applicant:

AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: postponed the pronouncement

Deadline: 28.09.2016.

4) File 4945/211/2016 – Court Cluj

Applicant: Luca Camelia

Defendant: SSIF BRK Financial Group

Subject: liability action

Solution: postponed the pronouncement

Deadline: 05.10.2016

5) File 4947/211/2016 – Court Cluj

Applicant: Luca Adrian Nicolae

Defendant: SSIF BRK Financial Group

SA Subject: liability action

Solution: postponed the pronouncement

Deadline: 11.10.2016

6) File 4941/211/2016 – Court Cluj

Applicant: Kucaba Doina

Capital Social: 54.039.987,04 lei

RO14BTRL06601202R1814001, BT-sucursala Zorilor, Cluj-Napoca

ANSPDCP nr. Inreg. 11847-2009, Decizia CNVM 3097/10.09.2003

Nr. Inreg. la ORC J12/3038/1994 CUI 6738423

Defendant: SSIF BRK Financial Group
Subject: liability action
Deadline: 28.09.2016

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1). File nr.6953/97/2010- Court Alba-Iulia

Applicant: SSIF BRK Financial Group
Defendant: Romanian Post National Company
Subject: claims (981,730.01 lei)
Deadline: 29.09.2016

2) File no. 832/90/2015 Court Valcea

Applicant: SSIF BRK Financial Group
Defendant: SC Socom SA, Stefan N. Voinea
Subject: Action for - transfer ownership
Deadline: 26.02.2016.

Solution: The file is suspended.

3) File 444/89/2016 Court Vaslui

Applicant: SSIF BRK Financial Group
Defendant: VASTEX VASLUI
Subject: claims – payment shares
Deadline: 03.10.2016

Solution: Postponed due to taking of evidence.

4) File 1839/2/2016. Bucharest Appeal Court

Applicant SSIF BRK Financial Group
Defendant: ASF
Subject: cancellation administrative act no. 1288/16.06.2015
Deadline: 06.10.2016

Vicechairman of the Board
Tiberiu Stratan