

To,  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Nr. 5642/21.10.2016

**Current report under Regulation no. 1/2006**

**Report date:** 21.10.2016

**Name of the company:** SSIF BRK Financial Group SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 54.039.987,04 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

**A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued**

1) File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Solution: the Court postpones ruling

Deadline: 22.10.2016

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3) File no. 1034/90/2014/a13 – Court Valcea Applicant:

AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: reject the plea of inadmissibility as unfounded.

With appeal rights.

Deadline: 23.11.2016.

4) File 4947/211/2016 – Court Cluj

Applicant: Luca Adrian Nicolae

Defendant: SSIF BRK Financial Group

SA Subject: liability action

Solution: The Court grants the motion to dismiss the case

on grounds that the statute of limitation for filing the claim

has lapsed. Dismisses the lawsuit filed by the applicant.

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1). File nr.6953/97/2010- Court Alba-Iulia

Applicant: SSIF BRK Financial Group

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei)

Solution: The appeal Courthouse modifies the decision delivered by the first Court and dismisses the claim.

2) File no. 832/90/2015 Court Valcea

Applicant: SSIF BRK Financial Group

Defendant: SC Socom SA, Stefan N. Voinea

Subject: Action for - transfer ownership

Solution: The file is suspended.

3) File 444/89/2016 Court Vaslui

Applicant: SSIF BRK Financial Group

Defendant: VASTEX VASLUI

Subject: claims – payment shares

Deadline: 31.10.2016

Solution: Postponed due to taking of evidence.

4) File 1839/2/2016. Bucharest Appeal Court

Applicant SSIF BRK Financial Group

Defendant: ASF

Subject: cancellation administrative act no. 1288/16.06.2015

Solution: At the hearing on 06.10.2016 the Court suspended the trial

Chairman of the Board  
Cătălin Mancaș