

To,  
**Bucharest Stock Exchange**  
**Financial Supervisory Authority**  
**Sector Investment and Financial Instruments**

Nr. 5497/13.10.2016

**Current report under Regulation no. 1/2006**

**Report date:** 13.10.2016

**Name of the company:** SSIF BRK Financial Group SA

**Headquarters:** Cluj Napoca, no. 119, Motilor street, Cluj County

**Nr. tel:** 0364.401.709 Fax: 0364.401.710

**Number and date of registration at ORC:** J12 / 3038/1994

**CUI:** 6738423, **Capital:** 54.039.987,04 lei

**The regulated market on which the securities issued:** BSE, premium category

**Important events to report:** Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

**A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued**

1) File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Deadline: 18.10.2016

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3) File no. 1034/90/2014/a13 – Court Valcea Applicant:

AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: reject the plea of inadmissibility as unfounded.

With appeal rights.

Deadline: 23.11.2016.

4) File 4945/211/2016 – Court Cluj

Applicant: Luca Camelia

Defendant: SSIF BRK Financial Group

Subject: liability action

Solution: postponed the pronouncement

Deadline: 19.10.2016

5) File 4947/211/2016 – Court Cluj

Applicant: Luca Adrian Nicolae

Defendant: SSIF BRK Financial Group

SA Subject: liability action

Solution: postponed the pronouncement

Deadline: 18.10.2016

6) File 4941/211/2016 – Court Cluj

Applicant: Kucaba Doina  
Defendant: SSIF BRK Financial Group  
Subject: liability action  
Solution: The Court grants the motion to dismiss the case on grounds that the statute of limitation for filing the claim has lapsed. It dismisses the claim filed by KUCA DOINA against the defendant BRK FINANCIAL GROUP SA.  
Orders the claimant to pay the litigation costs associated with the case to the defendant in amount of 2.120 lei.

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

- 1). File nr.6953/97/2010- Court Alba-Iulia  
Applicant: SSIF BRK Financial Group  
Defendant: Romanian Post National Company  
Subject: claims (981,730.01 lei)  
Solution: The appeal Courthouse modifies the decision delivered by the first Court and dismisses the claim.
- 2) File no. 832/90/2015 Court Valcea  
Applicant: SSIF BRK Financial Group  
Defendant: SC Socom SA, Stefan N. Voinea  
Subject: Action for - transfer ownership  
Deadline: 26.02.2016.  
Solution: The file is suspended.
- 3) File 444/89/2016 Court Vaslui  
Applicant: SSIF BRK Financial Group  
Defendant: VASTEX VASLUI  
Subject: claims – payment shares  
Deadline: 31.10.2016  
Solution: Postponed due to taking of evidence.
- 4) File 1839/2/2016. Bucharest Appeal Court  
Applicant SSIF BRK Financial Group  
Defendant: ASF  
Subject: cancellation administrative act no. 1288/16.06.2015  
Solution: At the hearing on 06.10.2016 the Court suspended the trial

CEO SSIF BRK Financial Group SA  
Adrian Pop