

No. 2623/20.06.2017

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date:20.06.2017

Name of the company: SSIF BRK Financial Group SA

Headquarters: Cluj Napoca, no. 119, Moșilor street, Cluj County

Tel. no.: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423,

Capital: 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued

1) File no. 7076/221/2014 - Court Deva

Applicant: Coțolan Petru and Coțolan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Solution: the Court upholds the application made by the applicants for summons

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

Final solution: the request for arbitration is rejected

3) File no. 1034/90/2014/a13 – Court Vâlcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: the Court postpones ruling to file documents

Deadline: 21.06.2017

4) File no. 258/300/2016

Applicant: Huzum Tudor Constantin and Huzum Rodica

Defendant: SSIF BRK Financial Group S.A.

Object: action for ascertainment absolute nullity, claims

Solution: the Court postpones ruling for lack of procedure

Deadline: 26.06.2017

5) File no. 11025/211/2017 – Court Cluj-Napoca

Applicant: SC Unicon SA

Defendant: SSIF BRK Financial Group S.A.

Object: Payment Order

Deadline: 20.06.2017

6) File no. 3421/211/2017 – Court Cluj-Napoca
Applicant: Ozarchievi Ileana Marcela
Defendant: SSIF BRK Financial Group
Subject: material claims worth 170,080 lei,
Deadline: 19.09.2017

7) File no. 3423/211/2017 – Court Cluj-Napoca
Applicant: Pantilimon Marius Doinel
Defendant: SSIF BRK Financial Group
Subject: material claims worth 177,000 lei and the legal interest

8) File no. 10518/320/2008 – Court Târgu-Mures
Applicant: Achim Marcel
Defendant: SSIF BRK Financial Group
Subject: Annulment of the imputation decision no. 526 / 01.09.2000 issued by SIVM BROKER SA
Solution: The court accepts the exception to the limitation of the petition for legal action.

B. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1) File no. 832/90/2015 Court Valcea
Applicant: SSIF BRK Financial Group
Defendant: S.C. Socom SA, Stefan N. Voinea
Subject: Action for - transfer ownership
Solution: The file is suspended.

2) File 1839/2/2016. Bucharest Appeal Court
Applicant SSIF BRK Financial Group
Defendant: ASF
Subject: cancellation administrative act no. 1288/16.06.2015
Solution: At the hearing on 06.10.2016 the Court suspended the trial

Darie Moldovan
Chairman