

No. 766/14.02.2017

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date: 14.02.2017

Name of the company: SSIF BRK Financial Group SA

Headquarters: Cluj Napoca, no. 119, Moșilor street, Cluj County

Tel. no.: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423,

Capital: 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued

1) File no. 7076/221/2014 - Court Deva

Applicant: Coțolan Petru and Coțolan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Solution: the Court upholds the application made by the applicants for summons

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

Deadline: 10.03.2017

3) File no. 1034/90/2014/a13 – Court Vâlcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: the Court postpones ruling

Deadline: 01.03.2017

4) File no. 258/300/2016

Applicant: Huzum Tudor Constantin and Huzum Rodica

Defendant: SSIF BRK Financial Group S.A.

Object: action for ascertainment absolute nullity, claims

Solution: the Court postpones ruling

Deadline: 06.03.2017

B. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1) File no. 832/90/2015 Court Valcea

Applicant: SSIF BRK Financial Group

Defendant: SC Socom SA, Stefan N. Voinea
Subject: Action for - transfer ownership
Solution: The file is suspended.

2) File 1670/89/2015* Court Vaslui
Applicant: SSIF BRK Financial Group
Defendant: SC VASTEX VASLUI
Subject: claims – Retrial
Solution: the Court postpones the pronouncement
Deadline: 27.02.2017

3) File 1839/2/2016. Bucharest Appeal Court
Applicant SSIF BRK Financial Group
Defendant: ASF
Subject: cancellation administrative act no. 1288/16.06.2015
Solution: At the hearing on 06.10.2016 the Court suspended the trial

CEO of SSIF BRK Financial Group SA
Adrian Pop