

No. 6531/12.12.2016

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Current report under Regulation no. 1/2006

Report date: 12.12.2016

Name of the company: SSIF BRK Financial Group SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423,

Capital: 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued

1) File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Solution: the Court postpones ruling

Deadline: 17.01.2017

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

Deadline: 10.03.2017

3) File no. 1034/90/2014/a13 – Court Valcea

Applicant: AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: the Court postpones ruling

Deadline: 01.03.2017

4) File no. 258/300/2016

Applicant: Huzum Tudor Constantin and Huzum Rodica

Defendant: SSIF BRK Financial Group S.A.

Object: action for ascertainment absolute nullity, claims

Deadline: 12.12.2016

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1). File nr.6953/97/2010- Court Alba-Iulia

Applicant: SSIF BRK Financial Group

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei)

Solution: The appeal Courthouse modifies the decision delivered by the first Court and dismisses the claim.

Deadline: 19.01.2017

2) File no. 832/90/2015 Court Valcea

Applicant: SSIF BRK Financial Group

Defendant: SC Socom SA, Stefan N. Voinea

Subject: Action for - transfer ownership

Solution: The file is suspended.

3) File 444/89/2016 Court Vaslui

Applicant: SSIF BRK Financial Group

Defendant: VASTEX VASLUI

Subject: claims – payment shares Deadline: 12.12.2016

Solution: Cause postponed

4) File 1839/2/2016. Bucharest Appeal Court

Applicant SSIF BRK Financial Group

Defendant: ASF

Subject: cancellation administrative act no. 1288/16.06.2015

Solution: At the hearing on 06.10.2016 the Court suspended the trial

Vicechairman of the Board
Florin-Daniel Gavrilă