

To,
Bucharest Stock Exchange
Financial Supervisory Authority
Sector Investment and Financial Instruments

Nr. 5798/01.11.2016

Current report under Regulation no. 1/2006

Report date: 01.11.2016

Name of the company: SSIF BRK Financial Group SA

Headquarters: Cluj Napoca, no. 119, Motilor street, Cluj County

Nr. tel: 0364.401.709 Fax: 0364.401.710

Number and date of registration at ORC: J12 / 3038/1994

CUI: 6738423, **Capital:** 54.039.987,04 lei

The regulated market on which the securities issued: BSE, premium category

Important events to report: Litigation report in accordance with art. 113, pt. A, par. (1), letter j) of the reg. NSC. 1/2006.

A. DISPUTES THE SSIF BRK Financial Group SA - IS PART – sued

1) File no. 7076/221/2014 - Court Deva

Applicant: Cotalan Petru and Cotalan Maria

Defendant: SSIF BRK Financial Group

Subject: material claims worth 59,500 lei

Solution: the Court postpones ruling

Deadline: 22.11.2016

2) File no. 2/2015 - Court of Arbitration Cluj Napoca

Applicant: Oprea Andreiu

Defendant: SSIF BRK Financial Group

Subject: material claims worth 322,369 lei,

At the hearing on 23.03.2016, the Court of Arbitration Panel refrained from giving a solution. Following will appoint another panel of arbitrators and determine otherwise.

3) File no. 1034/90/2014/a13 – Court Valcea Applicant:

AMT INSOLVENCY SERVICES

Defendant: SC HARINVEST SA and SSIF BRK Financial Group

Subject: Action for annulment

Solution: reject the plea of inadmissibility as unfounded.

With appeal rights.

Deadline: 23.11.2016.

A. DISPUTES THE SSIF BRK Financial Group IS PART- claimant

1). File nr.6953/97/2010- Court Alba-Iulia

Applicant: SSIF BRK Financial Group

Defendant: Romanian Post National Company

Subject: claims (981,730.01 lei)

Solution: The appeal Courthouse modifies the decision delivered by the first Court and dismisses the claim.

2) File no. 832/90/2015 Court Valcea

Applicant: SSIF BRK Financial Group

Defendant: SC Socom SA, Stefan N. Voinea

Capital Social: 54.039.987,04 lei

RO14BTRL06601202R1814001, BT-sucursala Zorilor, Cluj-Napoca

ANSPDCP nr. Inreg. 11847-2009, Decizia CNVM 3097/10.09.2003

Nr. Inreg. la ORC J12/3038/1994 CUI 6738423

Subject: Action for - transfer ownership

Solution: The file is suspended.

3) File 444/89/2016 Court Vaslui

Applicant: SSIF BRK Financial Group

Defendant: VASTEX VASLUI

Subject: claims – payment shares

Deadline: 14.11.2016

Solution: Postponed due to the expert report

4) File 1839/2/2016. Bucharest Appeal Court

Applicant SSIF BRK Financial Group

Defendant: ASF

Subject: cancellation administrative act no. 1288/16.06.2015

Solution: At the hearing on 06.10.2016 the Court suspended the trial

Chairman of the Board
Cătălin Mancaș